

Exhibit 300 (BY2009)

PART ONE	
OVERVIEW	
1. Date of Submission:	2007-09-10
2. Agency:	015
3. Bureau:	45
4. Name of this Capital Asset:	Integrated Data Retrieval System (IDRS)
5. Unique Project Identifier:	015-45-01-11-01-2218-00
6. What kind of investment will this be in FY2009?	
Mixed Life Cycle	
7. What was the first budget year this investment was submitted to OMB?	
FY2003	
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.	
<p>The Integrated Data Retrieval System (IDRS) is an IRS mission critical system consisting of databases and programs supporting IRS employees working active tax cases. It manages data retrieved from the Tax Master Files allowing IRS employees to take specific actions on taxpayer account issues, track status and post updates back to the Master Files. It provides for systemic review of case status, alleviating staffing needs and providing consistency in case control. Actions taken via IDRS include notice issuance, installment agreement processing, offers in compromise, adjustment processing, penalty and interest computations and explanations, credit and debit transfers within an account or other related accounts and research of taxpayer accounts for problem resolution of taxpayer inquiries. The following are the subsystems that comprise IDRS and are used collectively for various actions taken on account issues: CAF, RAF, EOD, DLY, WTU, STN, ERAS, CCA, REFOF, DOC, TRS, CDPTS, ISTS, CRX, IA, PINEX, PTDS, TDA, TDI, CAR, EACS, EFT, DCF, DIAL, DTR, RIR, SIA, SITLP,URF, XSF, NRPS (Unisys), Allocated TIPS, and the IDRS Real-time Command Codes (CC) to support account activity. In addition, numerous reports and information are produced by IDRS to support sending and receiving information to other systems within the IRS, Social Security Administration, Financial Management Service (FMS), state and local governments and tax practitioner businesses both in and outside the United States. With IRS Modernization, the Customer Account Data Engine (CADE) will eventually replace the Tax Master Files and the fully modernized system will enable updates to the CADE. IRS is currently evaluating options for providing customer account management services that can provide updates to CADE in a modernized environment and maintain continuity with IDRS through the transition years. The IRS ensures that operating systems are executed in a disciplined, well-managed, and consistent manner through timely oversight, quality control, and executive review. IDRS is continuously monitored for cost, schedule, and project performance. It is in the Control Phase of the IRS Capital Planning Investment Control (CPIC) process. Project Deliverables: Continue providing the operational functionality and make the necessary legislative updates to ensure continued customer support for both the front line IRS employees and the taxpayer service.</p>	
9. Did the Agency's Executive/Investment Committee approve this request?	
yes	
9.a. If "yes," what was the date of this approval?	
2007-08-16	
10. Did the Project Manager review this Exhibit?	
yes	
11. Project Manager Name:	
Bahnke, Karen	
Project Manager Phone:	
313-234-1360	
Project Manager Email:	
karen.bahnke@irs.gov	
11.a. What is the current FAC-P/PM certification level of the project/program manager?	

TBD	
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.	
no	
12.a. Will this investment include electronic assets (including computers)?	
no	
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	
no	
13. Does this investment directly support one of the PMA initiatives?	
yes	
If yes, select the initiatives that apply:	
Budget Performance Integration	
Expanded E-Government	
Financial Performance	
13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)	
Financial & Budget Performance -Centralization of IDRS database reduced number of accounts by almost 25% & enhanced customer service by eliminating redundancy. Now, only a single account exists for any one taxpayer on the DB; improved reliability of information; improved ability to handle more accounts without overloading system. Expanded Electronic Government-Internet solutions for the Centralized Authorization File, the Reporting Agents File and the Employer Identification Number assignment	
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?	
yes	
14.a. If yes, does this investment address a weakness found during the PART review?	
no	
14.b. If yes, what is the name of the PARTed program?	
Internal Revenue Service Submission Processing	
14.c. If yes, what rating did the PART receive?	
Moderately Effective	
15. Is this investment for information technology?	
yes	
16. What is the level of the IT Project (per CIO Council's PM Guidance)?	
Level 2	
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)	
(1) Project manager has been validated as qualified for this investment	
18. Is this investment identified as high risk on the Q4 - FY 2007 agency high risk report (per OMB memorandum M-05-23)?	
yes	
19. Is this a financial management system?	
no	
19.a.2. If no, what does it address?	
The Integrated Data Retrieval System (IDRS) aligns to the Treasury Architecture Plan through the Taxation Management vertical Line of Business. IDRS sub-components are found in "Taxation Management" within Appendix D of Treasury's FY2006 IT Portfolio Alignment Summary. IDRS aligns to the IRS Enterprise Transition Plan, Volume 1, Section 4.1.2 "Strategic Assessment".	
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)	
Hardware	0

Software	0
Services	99
Other	1

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

no

22. Contact information of individual responsible for privacy related questions.

Name

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Title

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23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

yes

24. Does this investment directly support one of the GAO High Risk Areas?

no

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY
	-2006	2007	2008
Planning Budgetary Resources	0.000	0.000	0.000
Acquisition Budgetary Resources	0.000	0.000	0.000
Maintenance Budgetary Resources	1.085	1.085	1.112
Government FTE Cost	77.870	16.560	17.138
# of FTEs	761	152	152

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

yes

2.a. If "yes," how many and in what year?

12.32 additional FTE's in FY08. Due to attrition and retirements, subject matter experts have diminished. SMEs must be

replaced in order to ensure that the investment maintains compliance with legislation and the requirements of tax year changes as well as fulfilling urgent business needs.

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes.

A programmatic Baseline Change Request for FY08 is being submitted to Treasury based upon the FY 2006 Appropriation, the FY 2007 Congressional Justification, and the FY 2008 Treasury submission budget numbers compiled by the MITS Financial Management Services (FMS) organization. The budget numbers identified in the Summary of Spending table are the new baseline numbers being proposed and will not match the 1.H Tables until the new re-baseline is externally approved by Treasury. Note: The re-baseline was discussed with Ron Blank of the CPIC office and approved internally.

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding Measurement Area and Measurement Grouping identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

	Fiscal Year	Strategic Goal Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2007	Manage the U.S. Government's Finances Effectively	Customer Results	Customer Impact or Burden	Reduce the volume of magnetic tape data transmissions submitted to IRS by 20% from FY 2006 levels by allowing Reporting agents the ability to submit third party data electronically through a secure mailbox.	2400 tapes received in FY 2006	20% reduction, 1920 tapes received	Results measured annually -- expected 9/30/07.
2	2007	Manage the U.S. Government's Finances Effectively	Processes and Activities	Efficiency	Reduce the number of CRX Certified letters manually processed at the local print sites by 20% from FY 2006 level of CRX Total Certified Letters.	600,00 Letters processed in FY 2006.	20% reduction, 480,000 CRX letters processed.	Results measured annually -- expected 9/30/07.
3	2007	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Taxation Management	In pursuit of better service to the taxpayer, implement at	76 Work Requests/Mandates received.	75% implementation, 57 Work Requests.	Results measured annually -- expected

					least 75% of the Legislative Mandates and Sustaining Operation changes that are requested for the Integrated Data Retrieval System.			9/30/07.
4	2007	Manage the U.S. Government's Finances Effectively	Technology	User Satisfaction	Improve service to the taxpayer service personnel using IDRS by reducing the number of problems reported through ITAMS production tickets by 10% of FY 2006 Totals..	465 Tickets opened on ITAMS	10% reduction, 419 tickets opened	Results measured annually -- expected 9/30/07.
5	2008	Manage the U.S. Government's Finances Effectively	Customer Results	Customer Impact or Burden	Reduce the volume of magnetic tape data transmissions to IRS by 40% from FY 2006 levels by allowing Reporting agents the ability to submit third party data electronically through a secure mailbox.	2400 tapes received in FY 2006.	40% reduction, 1440 tapes received.	
6	2008	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Taxation Management	In pursuit of better service to the taxpayer, implement at least 80% of the Legislative Mandates and Sustaining Operation changes that are requested for the Integrated Data Retrieval System.	76 Work Requests received.	80% implementation, 61 Work Requests.	
7	2008	Manage the U.S. Government's	Technology	User Satisfaction	Improve service to the taxpayer	465 Tickets opened on ITAMS.	20% reduction, 372 tickets opened.	

		Finances Effectively			service personnel using IDRS by reducing the number of problems reported through ITAMS production tickets by 20% of FY 2006 Totals..			
8	2008	Manage the U.S. Government's Finances Effectively	Processes and Activities	Efficiency	Reduce the number of CRX Certified letters manually processed at the local print sites by 25% from FY 2006 level of CRX Total Certified Letters.	600,000 Letters processed in FY 2006.	25% reduction, 450,000 CRX letters processed.	
9	2009	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Taxation Management	In pursuit of better service to the taxpayer, implement at least 85% of the Legislative Mandates and Sustaining Operation changes that are requested for the Integrated Data Retrieval System.	76 Work Requests received.	85% implementation, 65 Work Requests.	
10	2009	Manage the U.S. Government's Finances Effectively	Technology	User Satisfaction	Improve service to the taxpayer service personnel using IDRS by reducing the number of problems reported through ITAMS production tickets by 30% of FY 2006 Totals..	465 Tickets opened on ITAMS.	30% reduction, 326 tickets opened.	
11	2009	Manage the U.S. Government's Finances Effectively	Processes and Activities	Efficiency	Reduce the number of CRX Certified letters manually processed at the local print sites by 30% from FY 2006 level of CRX	600,000 Letters processed in FY 2006.	30% reduction, 420,000 CRX letters processed.	

					Total Certified Letters.			
12	2009	Manage the U.S. Government's Finances Effectively	Customer Results	Customer Impact or Burden	Reduce the volume of magnetic tape data transmissions submitted to IRS by 60% from FY 2006 levels by allowing Reporting agents the ability to submit third party data electronically through a secure mailbox.	2400 tapes received in FY 2006.	60% reduction, 960 tapes received.	

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning, Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

The Integrated Data Retrieval System (IDRS) is included in the Taxation Management Vertical Line of Business in Treasury's "IT Modernization Blueprint, Volume 7, EA Transition Strategy" (February 2007).

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

yes

3.a. If yes, provide the name of the segment architecture as provided in the agency's most recent annual EA Assessment.

Enterprise Transition Plan, Volume 1: Enterprise Transition Strategy (IRS)

4. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Tax Account	Manages the lifecycle of cases/issues or data for	Data	Data			No Reuse	

	Management Business Logic	Taxpayer examinations/collections/appeals/litigation/criminal investigations	Management	Exchange				
2	Customer/Account Management	Provides real-time access and update of tax accounts on the databaes, based on communication and activity with the taxpayers.	Customer Relationship Management	Customer / Account Management			No Reuse	
3	Tax Account Management Business Logic	Provides the automated means to assign, track, and process Tax Accounts in IRS Collection status.	Tracking and Workflow	Process Tracking			No Reuse	

5. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Data Exchange	Service Interface and Integration	Interoperability	Data Transformation	IRS written code
2	Customer / Account Management	Component Framework	Business Logic	Platform Dependent	IRS written code
3	Process Tracking	Component Framework	Data Management	Reporting and Analysis	IRS written code

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

no

PART TWO

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2007-07-31

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

no

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

Each year IDRS works with the customer organizations to prioritize their annual change requests to mitigate risks affected by the life cycle costs and investment schedule. Life cycle costs are risk adjusted.

COST & SCHEDULE

1. Does the earned value management system meet the criteria in ANSI/EIA Standard 748?

no

2. Is the CV% or SV% greater than $\hat{A} \pm 10\%$?

no

3. Has the investment re-baselined during the past fiscal year?

yes

3.a. If yes, when was it approved by the agency head?

2007-09-12