

Exhibit 300 (BY2009)

PART ONE	
OVERVIEW	
1. Date of Submission:	2007-06-01
2. Agency:	015
3. Bureau:	10
4. Name of this Capital Asset:	Treasury Check Information System (TCIS)
5. Unique Project Identifier:	015-10-01-14-01-1110-00
<i>6. What kind of investment will this be in FY2009?</i>	
Mixed Life Cycle	
<i>7. What was the first budget year this investment was submitted to OMB?</i>	
FY2001 or earlier	
<i>8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.</i>	
<p>The Treasury Check Information System (TCIS) replaced the 20 year old Check Payment and Reconciliation (CP&R) system. TCIS is a state-of-the-art and secure record keeping, reconciliation, and claims processing system for check payments that assists in the accomplishment of Department of the Treasury (Treasury) and Financial Management Service (FMS) Strategic Goals and Objectives. The TCIS investment limits commercial bank losses by providing improved capabilities to identify and decline altered and counterfeit Treasury checks more expeditiously. Moreover TCIS is vital to assure Federal agencies and those receiving check payments will continue to receive top-flight reconciliation and claims service from a highly trained staff. The accessibility features of TCIS allow FMS, Federal Program Agencies (FPAs), and other users to view payment information on-line from desktops, and greatly enhances agencies' ability to exercise sound financial management practices and controls. The TCIS investment ensures the highest level of financial integrity, provides needed reliability, significantly improves the processing of transactions, and provides assistance in reducing the losses resulting from payments on counterfeited or altered Treasury checks or from administrative errors in the check payment reconciliation and claims processes. The TCIS investment directly supports the President's Management Agenda (PMA). The TCIS Project closed a performance gap with CP&R, an antiquated, main frame-based, paper document-dependent, labor-intensive, non-FMS TRM compliant system(s) in operation prior to TCIS implementation. Studies concluded that CP&R was an inefficient, costly and soon to be obsolete workhorse system that needed to be replaced.</p>	
<i>9. Did the Agency's Executive/Investment Committee approve this request?</i>	
yes	
<i>9.a. If "yes," what was the date of this approval?</i>	
2007-08-16	
<i>10. Did the Project Manager review this Exhibit?</i>	
yes	
<i>11. Project Manager Name:</i>	
Weilmuenster, Judy	
<i>Project Manager Phone:</i>	
<i>Project Manager Email:</i>	
<i>11.a. What is the current FAC-P/PM certification level of the project/program manager?</i>	
TBD	
<i>12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.</i>	
yes	

12.a. Will this investment include electronic assets (including computers)?	
yes	
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	
no	
13. Does this investment directly support one of the PMA initiatives?	
yes	
If yes, select the initiatives that apply:	
Expanded E-Government	
Financial Performance	
13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)	
Financial Perf. - TCIS improves timeliness, accuracy and accessibility of financial information by providing FMS, FPA and external users dynamic access to 7 years of both check and EFT payment data and associated case history information. TCIS aids FPAs in reducing the number of erroneous payments, and the subsequent loss of funds. Expanded E-Gov - TCIS provides web-enabled access to Govt. check data for FMS and external users via a desktop web browser, with a secure Internet connection.	
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?	
yes	
14.a. If yes, does this investment address a weakness found during the PART review?	
no	
14.b. If yes, what is the name of the PARTed program?	
Financial Management Service Payments	
14.c. If yes, what rating did the PART receive?	
Effective	
15. Is this investment for information technology?	
yes	
16. What is the level of the IT Project (per CIO Council's PM Guidance)?	
Level 3	
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)	
(1) Project manager has been validated as qualified for this investment	
18. Is this investment identified as high risk on the Q4 - FY 2007 agency high risk report (per OMB memorandum M-05-23)?	
yes	
19. Is this a financial management system?	
yes	
19.a. If yes, does this investment address a FFIA compliance area?	
yes	
19.a.1. If yes, which compliance area:	
Standard General Ledger at the Transaction Level, Financial Systems Requirements and Accounting Standards	
19.b. If yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.	
Treasury Check Information System is the system name. TCIS is the system acronym.	
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)	
Hardware	1
Software	8
Services	78

Other 14

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

n/a

22. Contact information of individual responsible for privacy related questions.

Name

Peter Genova

Phone Number

Title

FMS Senior Official for Privacy

Email

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

yes

24. Does this investment directly support one of the GAO High Risk Areas?

no

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY
	-2006	2007	2008
Planning Budgetary Resources	1.295	0.000	0.000
Acquisition Budgetary Resources	11.291	1.299	2.237
Maintenance Budgetary Resources	6.099	6.118	3.623
Government FTE Cost	4.967	0.779	0.760
# of FTEs	71	10	9

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes.

The TCIS BY 2009 Summary of Spending table reflects the same future estimated life-cycle costs as the BY 2008 table. However, new DME milestones have been added to the TCIS investment baseline, resulting in a revised allocation of Acquisition and Maintenance costs. The new DME milestones reflect planned business and performance improvements to the system which were previously identified as operations and maintenance, but are properly classified as acquisition activities. Additionally, project task re-assignments, moving from Government to FRB, have lowered the projected number

of Government FTE's and Government FTE costs.

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding Measurement Area and Measurement Grouping identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

	Fiscal Year	Strategic Goal Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2007	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Payments	Percentage of all forgery and non-receipt check claims adjudicated in 12 days	83%	94% within 12 days	94.5% as of the end of the 3rd QTR FY2007.
2	2007	Manage the U.S. Government's Finances Effectively	Customer Results	Customer Satisfaction	Percentage of customers surveyed that report satisfaction with the TCIS application	No established baseline from previous fiscal year	80%	Customer survey ongoing now. Results of the survey will be provided at the end of the fiscal year.
3	2007	Manage the U.S. Government's Finances Effectively	Processes and Activities	Innovation and Improvement	Percent of annual paper check claims case folders eliminated	31% eliminated	95% eliminated	As of end of 3d QTR FY 2007 100 % eliminated
4	2007	Manage the U.S. Government's Finances Effectively	Technology	Operations and Maintenance Costs	Elimination of cost for microfiche copies of paper case files	\$260,000	\$0	As of the end of the 3rd QTR FY 2007 no cost for microfiche was incurred
5	2008	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Payments	Percentage of all forgery and non-receipt check claims adjudicated in 12 days	Results from FY07	95%	To be provided during FY 2008
6	2008	Manage the U.S.	Customer Results	Customer Satisfaction	Percentage of customers	Results from FY07	82%	To be provided

		Government's Finances Effectively			surveyed that report satisfaction with the TCIS application			during FY2008
7	2008	Manage the U.S. Government's Finances Effectively	Processes and Activities	Innovation and Improvement	Percent of annual paper check claims case folders eliminated	Results from FY07	100% eliminated	To be provided during FY2008
8	2008	Manage the U.S. Government's Finances Effectively	Technology	Licensing Costs	Elimination of cost for certain redundant components of the COTS software due to maturity of TCIS system	\$150,000	\$0	To be provided during FY2008
9	2009	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Payments	Percentage of all forgery and non-receipt check claims adjudicated in 12 days	Results from FY08	96%	To be provided during FY2009
10	2009	Manage the U.S. Government's Finances Effectively	Customer Results	Customer Satisfaction	Percentage of customers surveyed that report satisfaction with the TCIS application	Results from FY08	84%	To be provided during FY2009
11	2009	Manage the U.S. Government's Finances Effectively	Processes and Activities	Innovation and Improvement	Percent of TCIS FRB application support staff cross trained in TCIS modules support	No established baseline from previous fiscal year	100%	To be provided during FY2009
12	2009	Manage the U.S. Government's Finances Effectively	Technology	Availability	Percent of published hours of availability met by the TCIS application	Baseline will be established at the end of fiscal year 08	95%	To be provided during FY2009
13	2009	Manage the U.S. Government's Finances Effectively	Technology	Response Time	Percent of sampled TCIS application's response time within 10 seconds	Baseline will be established at the end of fiscal year 08	97%	To be provided during FY2009
14	2010	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Payments	Percentage of all forgery and non-receipt check claims adjudicated in 12 days	Results from FY09	97%	To be provided during FY2010
15	2010	Manage the U.S. Government's Finances	Customer Results	Customer Satisfaction	Percentage of customers surveyed that report	Results from FY09	86%	To be provided during FY2010

		Effectively			satisfaction with the TCIS application.			
16	2010	Manage the U.S. Government's Finances Effectively	Processes and Activities	Complaints	Percentage of Severity Code One problems responded to within agreed time frame.	Baseline will be established at the end of fiscal year 09	100%	To be provided during FY2010
17	2010	Manage the U.S. Government's Finances Effectively	Technology	Reliability	Percent of published hours of availability met by the TCIS application	Results from FY09	96%	To be provided during FY2010
18	2010	Manage the U.S. Government's Finances Effectively	Technology	Response Time	Percent of sampled TCIS application's response time within 10 seconds	Results from FY09	96%	To be provided during FY2010

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

Treasury Check Information System (TCIS)/(CP&R Replacement Project)

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

yes

3.a. If yes, provide the name of the segment architecture as provided in the agency's most recent annual EA Assessment.

Central Fiscal Operations Segment Architecture Roadmap (FMS)

4. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Auditing	Compares issue and paid data, creates exception cases for unmatched items and provides capability to enter adjusting transactions. Sweeps issue and paid data for claims case creation and resolution.	Financial Management	Auditing			No Reuse	30
2	Extraction and Transformation	Comparison of issue and paid data, creation of exception cases for unmatched items, capability to enter adjusting transactions. Sweeps issue and paid data for claims case creation and resolution.	Data Management	Extraction and Transformation			No Reuse	20
3	Document Imaging and OCR	Provides interface to access and archive documents stored in a database.	Document Management	Document Imaging and OCR			No Reuse	15
4	Case Management	Case management for claims for lost or stolen U.S. Treasury checks and unmatched issue and paid data throughout case resolution process.	Tracking and Workflow	Case Management			No Reuse	25

5. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Auditing	Service Platform and Infrastructure	Software Engineering	Modeling	

2	Extraction and Transformation	Service Platform and Infrastructure	Software Engineering	Modeling	
3	Extraction and Transformation	Component Framework	Data Management	Reporting and Analysis	
4	Extraction and Transformation	Service Platform and Infrastructure	Delivery Servers	Application Servers	
5	Extraction and Transformation	Component Framework	Data Management	Reporting and Analysis	
6	Extraction and Transformation	Service Platform and Infrastructure	Software Engineering	Modeling	
7	Document Imaging and OCR	Component Framework	Presentation / Interface	Static Display	
8	Document Imaging and OCR	Component Framework	Data Interchange	Data Exchange	
9	Document Imaging and OCR	Service Platform and Infrastructure	Delivery Servers	Web Servers	
10	Document Imaging and OCR	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	
11	Document Imaging and OCR	Service Platform and Infrastructure	Delivery Servers	Web Servers	
12	Document Imaging and OCR	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
13	Case Management	Component Framework	Data Management	Reporting and Analysis	
14	Case Management	Service Platform and Infrastructure	Software Engineering	Modeling	
15	Case Management	Service Platform and Infrastructure	Software Engineering	Modeling	
16	Case Management	Service Platform and Infrastructure	Delivery Servers	Application Servers	
17	Case Management	Component Framework	Presentation / Interface	Static Display	

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

no

6.a. If yes, please describe.

There are currently no existing components and/or applications across the Government that the TCIS investment can leverage as this function is unique to FMS.

PART TWO

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2007-05-11

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

no

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

Investment risks are cost and schedule adjusted by incorporating flexibility into the start and end dates of our milestones and corresponding planned costs of the investment DME milestones. This built in flexibility enables the investment to adjust the critical start and end dates of tasks and milestones, along with the associated life-cycle costs. This strategy allows for satisfactory rework, if required and final testing of each completed module prior to implementation or early commencement of follow-on tasks due to early completion of prerequisite tasks. TCIS life-cycle costs have been adjusted to accommodate the impact of these identified risks. Risk adjustments for life-cycle cost and schedule variances lessened the impact of uncertainties and contributed to the successful TCIS startup in June 2006.

COST & SCHEDULE

1. Does the earned value management system meet the criteria in ANSI/EIA Standard 748?

yes

2. Is the CV% or SV% greater than $\hat{A} \pm 10\%$?

no

3. Has the investment re-baselined during the past fiscal year?

yes

3.a. If yes, when was it approved by the agency head?

2007-05-16