

Exhibit 300 (BY2010)

PART ONE

---

OVERVIEW

---

1. Date of Submission:  
2009-04-10

2. Agency:  
015

3. Bureau:  
10

4. Name of this Capital Asset:  
Deposit and Data Management (DDM)

5. Unique Project Identifier:  
015-10-01-14-01-1230-00

6. What kind of investment will this be in FY2010?  
Mixed Life Cycle

7. What was the first budget year this investment was submitted to OMB?  
FY2009

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.

DDM will improve financial performance by enabling FMS and Government agencies to more effectively manage financial transaction information and improve the efficiency of the collections information reporting processes. Additionally, DDM improves timeliness by providing Federal Program Agencies (FPA) with the ability to predefine accounting rules to facilitate timely processing of financial transactions through the re-engineering of reporting processes. Through the expanded use of web-based technologies DDM supports the integration of financial and performance information government wide by providing data on a daily basis. Currently, collection agents report collections information to many FPAs and multiple U.S. Treasury systems. To collect this information the FPAs and U.S. Treasury systems interface with many different sources using a variety of formats and interface technologies. DDM will provide a single touch point for reporting and retrieval of this information via transaction brokering, data warehousing, and business intelligence. The single touch point will enable the standardization and consolidation of collections information and eliminate redundancies in the Federal government's collections reporting processes. DDM integrates collections transactions from all sources, enabling FMS and Government agencies to more effectively manage financial transaction information by connecting disparate applications and coordinating the flow of information and processes that span them. DDM enables FMS to normalize financial transaction reporting and standardize the availability of funds and financial information across all settlement mechanisms and collection systems. DDM facilitates compliance with the new Governmentwide Accounting (GWA) requirements which mandate capturing and reporting Treasury Account Symbols (TAS) and Business Event Type Codes (BETC). This is achieved by providing FPAs with the ability to predefine accounting rules to facilitate timely processing of financial transactions and providing an accurate, single touch point, to validate and/or derive TAS-BETC account codes for financial transactions. DDM embodies the move to an enterprise architecture (i.e. better alignment of IT infrastructure with business functions and elimination of redundancies across the enterprise). DDM provides a single touch point for the exchange of all financial transaction information across all collection systems.

9. Did the Agency's Executive/Investment Committee approve this request?  
yes

9.a. If "yes," what was the date of this approval?  
2007-08-16

10. Did the Program/Project Manager review this Exhibit?  
yes

11. Program/Project Manager Name:

Program/Project Manager Phone:

Program/Project Manager Email:

11.a. What is the current FAC-P/PM certification level of the project/program manager?

11.b. When was the Program/Project Manager Assigned?

11.c. What date did the Program/Project Manager receive the FACP/PM certification? If the certification has not been issued, what is the anticipated date for certification?

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

yes

12.a. Will this investment include electronic assets (including computers)?

yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

no

13. Does this investment directly support one of the PMA initiatives?

yes

If yes, select the initiatives that apply:

Financial Performance

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

DDM improves financial performance by enabling FMS and FPAs to effectively manage transaction information and improve the efficiency of the collections information reporting processes. DDM improves timeliness by providing FPAs the ability to predefine accounting rules to facilitate processing of financial transactions through the re-engineering of reporting processes. Through web-based technologies DDM supports the integration of financial and performance information by providing data daily.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?

yes

14.a. If yes, does this investment address a weakness found during the PART review?

no

14.b. If yes, what is the name of the PARTed program?

10004102 - Financial Management Service Payments

14.c. If yes, what rating did the PART receive?

Effective

15. Is this investment for information technology?

yes

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 2

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as high risk on the Q4 - FY 2008 agency high risk report (per OMB memorandum M-05-23)?

no

19. Is this a financial management system?

yes

19.a. If yes, does this investment address a FFIA compliance area?

yes

19.a.1. If yes, which compliance area:

Integrated Financial Management Systems (Feeder systems are integrated or electronically interfaced with the core financial system)

19.b. If yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.

CASHLINK II

20. What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)

Area	Percentage
Hardware	19
Software	5
Services	42
Other	34

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?  
no

22. Contact information of individual responsible for privacy related questions.

Name

Phone Number

Title

Email

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

yes

24. Does this investment directly support one of the GAO High Risk Areas?

no

#### SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

Cost Type	Py-1 & Earlier -2007	PY 2008	CY 2009	BY 2010
Planning Budgetary Resources	22.705	15.129	13.616	6.720
Acquisition Budgetary Resources	8.603	0.500	0.501	4.700
Maintenance Budgetary Resources	16.045	10.949	10.844	14.148
Government FTE Cost	1.885	1.226	1.276	1.310
# of FTEs	10	11	11	11

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

## PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding Measurement Area and Measurement Grouping identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at [www.egov.gov](http://www.egov.gov). The table can be extended to include performance measures for years beyond the next President's Budget.

	Fiscal Year	Strategic Goal Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2007	Effectively Managed U.S. Government Finances	Mission and Business Results	Reporting and Information	Number of enterprise reference data trading partners	0	2	2
2	2007	Effectively Managed U.S. Government Finances	Customer Results	Service Efficiency	Percentage of calls answered within 3 rings by call center	90%	95%	100
3	2007	Effectively Managed U.S. Government Finances	Processes and Activities	Efficiency	Number of ALCs supported by real time GWA accounting classifications	0	4	4
4	2007	Effectively Managed U.S. Government Finances	Technology	Service Availability	System availability during peak times	95%	99%	100
5	2008	Effectively Managed U.S. Government Finances	Mission and Business Results	Reporting and Information	Number of Agency Location Codes having Treasury Account Symbols/Business Event Type Codes from IPAC transactions validated or classified	0	15	15
6	2008	Effectively Managed U.S. Government Finances	Customer Results	Customer Impact or Burden	Number of agency reconciliation points for cash receipts and deposits	26	25	26
7	2008	Effectively	Processes and	Efficiency	Number of Agency	0	5	5

		Managed U.S. Government Finances	Activities		Location Codes having Treasury Account Symbols/Business Event Type Codes from Payments transactions validated or classified			
8	2008	Effectively Managed U.S. Government Finances	Technology	Data Standardization or Tagging	Number of collection systems providing detailed collection transactions using standard XML schema	0	4	4
9	2009	Effectively Managed U.S. Government Finances	Mission and Business Results	Central Fiscal Operations	Number of Agency Location Codes having TAS/BETCs from IPAC transactions validated or classified	15	25	Actual results will be available during the Fiscal Year
10	2009	Effectively Managed U.S. Government Finances	Customer Results	Customer Impact or Burden	Number of agency reconciliation points for cash receipts and deposits	25	11	Actual results will be available during the Fiscal Year
11	2009	Effectively Managed U.S. Government Finances	Processes and Activities	Efficiency	Number of Agency Location Codes having Treasury Account Symbols/Business Event Type Codes from Payments transactions validated or classified	5	10	Actual results will be available during the Fiscal Year
12	2009	Effectively Managed U.S. Government Finances	Technology	Data Standardization or Tagging	Number of collection systems providing detailed collection transactions using standard XML schema	4	7	Actual results will be available during the Fiscal Year
13	2010	Effectively Managed U.S. Government Finances	Mission and Business Results	Central Fiscal Operations	Percentage of agencies accessing a single touch point for collections information	5%	50%	Actual results will be available during the Fiscal Year
14	2010	Effectively Managed U.S. Government Finances	Customer Results	Customer Impact or Burden	Number of agency reconciliation points for cash receipts and deposits	11	3	Actual results will be available during the Fiscal Year

15	2010	Effectively Managed U.S. Government Finances	Processes and Activities	Efficiency	Number of Agency Location Codes having Treasury Account Symbols/Business Event Type Codes from Payments transactions validated or classified	10	15	Actual results will be available during the Fiscal Year
16	2010	Effectively Managed U.S. Government Finances	Technology	Data Standardization or Tagging	Number of collection systems providing detailed collection transactions using standard XML schema	7	9	Actual results will be available during the Fiscal Year
17	2011	Effectively Managed U.S. Government Finances	Mission and Business Results	Central Fiscal Operations	Percentage of agencies accessing a single touch point for collections information	50%	75%	Actual results will be available during the Fiscal Year
18	2011	Effectively Managed U.S. Government Finances	Customer Results	Customer Impact or Burden	Number of agency reconciliation points for cash receipts and deposits	3	1	Actual results will be available during the Fiscal Year
19	2011	Effectively Managed U.S. Government Finances	Processes and Activities	Efficiency	Number of Agency Location Codes having Treasury Account Symbols/Business Event Type Codes from Payments transactions validated or classified	15	20	Actual results will be available during the Fiscal Year
20	2011	Effectively Managed U.S. Government Finances	Technology	Data Standardization or Tagging	Number of collection systems providing detailed collection transactions using standard XML schema	9	10	Actual results will be available during the Fiscal Year
21	2012	Effectively Managed U.S. Government Finances	Mission and Business Results	Reporting and Information	Number of TRS data marts supporting collections analysis	1	5	Actual results will be available during the Fiscal Year
22	2012	Effectively Managed U.S. Government Finances	Customer Results	Service Availability	Percentage of TRS Call Center availability during business hours	98%	99%	Actual results will be available during the Fiscal

								Year
23	2012	Effectively Managed U.S. Government Finances	Processes and Activities	Efficiency	Number of FMS users accessing a single touch point to analyze collections activity	5	10	Actual results will be available during the Fiscal Year
24	2012	Effectively Managed U.S. Government Finances	Technology	Availability	Percentage of TRS availability during the prime time operating period	98%	99%	Actual results will be available during the Fiscal Year

## PART TWO

---

### RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2008-07-31

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

yes

1.c. If yes, describe any significant changes:

Updated back up documentation to include plan and register.

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

Risk management is performed throughout the investment life cycle. An initial risk assessment was performed where investment risks were identified, scored, and prioritized. This risk analysis was then used to formulate risk-adjusted cost and schedule estimates. Additionally a reserve is maintained for responding to risk events that cannot be predicted. Ongoing, on a regular basis, the investment monitors risks and impacts to cost and schedule estimates are reviewed and adjusted, as necessary.

## COST & SCHEDULE

1. Does the earned value management system meet the criteria in ANSI/EIA Standard 748?

yes

2. Is the CV% or SV% greater than  $\hat{\Delta}\pm 10\%$ ?

yes

2.a. If yes, was it the?

CV

2.b. If yes, explain the variance.

The cause of the over-budget cost variance is due to having established the original baseline using inaccurate cost estimates which was due to a misunderstanding of the E-300 preparer.

2.c. If yes, what corrective actions are being taken?

DDM is in the process of correcting its future milestones in accordance with the Treasury Baseline Change Request Policy.

3. Has the investment re-baselined during the past fiscal year?

no