

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Data Exchange	All other IRS system applications that process individual master file data depend on output, directly or indirectly from this data source. Additionally, due to the central importance that the IMF plays in the processing of business taxpayer returns, it also exchanges data with external trading partners that have the need and the authority to obtain the data.	Data Management	Data Exchange			No Reuse	20
2	Loading and Archiving	Data is received into IMF through a single interface from all of IRS input systems. It is loaded using customized Assembly Language Code (ALC) runs. When inactive data is moved to a retention register, customized ALC runs are used.	Data Management	Loading and Archiving			No Reuse	80

5. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Data Exchange	Component Framework	Data Management	Reporting and Analysis	Custom Code
2	Data Exchange	Component Framework	Security	Supporting Security Services	IBM RACF (R 1.9)
3	Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels	Custom Code
4	Loading and Archiving	Service Access and Delivery	Service Transport	Service Transport	Custom Code
5	Loading and Archiving	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CA AllFusion Endeavor (R7)
6	Loading and Archiving	Service Platform and Infrastructure	Software Engineering	Test Management	CA AllFusion Endeavor (R7)

7	Loading and Archiving	Service Interface and Integration	Interface	Service Description / Interface	Custom Code
8	Data Exchange	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	CA AllFusion Endeavor (R7)
9	Data Exchange	Service Platform and Infrastructure	Software Engineering	Test Management	CA AllFusion Endeavor (R7)
10	Loading and Archiving	Component Framework	Security	Supporting Security Services	IBM RACF (R1.9)

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

no

PART TWO

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2008-03-05

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

yes

1.c. If yes, describe any significant changes:

Updated to be in compliance with BSD standards and guidelines.

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

Program risk management is an ongoing part of project management on the IMF Investment. We have risk management capability in place that requires weekly coordination meeting with active participation from business customers, internal developers, contractors and other aspects of the investment through the Release Readiness meetings. The technical coordinator and project team actively work together to identify, manage and track risks that could adversely impact the investment. Costs associated with risk capability are captured in task order and included in the cost estimated throughout the life cycle. Schedule and cost risks are continuously monitored and mitigated through established processes. The following mitigation strategies are in place: a) Implement enhancements based on fiscal year funding available. b) Schedule discretionary changes in advance and share resources by utilizing overtime. c) Apply quality review to improve productivity. d) Conduct regular stakeholder status meetings with follow-up on action items.

COST & SCHEDULE

1. Does the earned value management system meet the criteria in ANSI/EIA Standard 748?

yes

2. Is the CV% or SV% greater than $\pm 10\%$?

yes

2.a. If yes, was it the?

CV

2.b. If yes, explain the variance.

The DME cost variance was due to FY07's first experience in determining the amount of DME work required to support the business. To address this variance, a funding allocation between DME and SS was refined to meet the FY07 needs but it was too late to include in the BCR for FY07. For FY08, through the first 2 quarters, all the open milestones for the project are within variance, eventhough there is a pattern of underspending occurring due to the work being done on the Economic Stimulus Initiative.

2.c. If yes, what corrective actions are being taken?

A recent baseline change request has been submitted and approved on July 3, 2008 to reduce future planned costs for the out-year legislative changes to coincide with the FY09 OMB Passback, and is expected to mitigate potential future under-budget variances.

3. Has the investment re-baselined during the past fiscal year?

yes

3.a. If yes, when was it approved by the agency head?

2008-07-03