

Exhibit 300 (BY2010)

PART ONE

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OVERVIEW

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1. Date of Submission:  
2009-04-10

2. Agency:  
015

3. Bureau:  
10

4. Name of this Capital Asset:  
International Treasury Services (ITS.gov)

5. Unique Project Identifier:  
015-10-01-01-01-1485-00

6. What kind of investment will this be in FY2010?  
Mixed Life Cycle

7. What was the first budget year this investment was submitted to OMB?  
FY2008

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.

ITS.gov consolidates multiple Federal government international payment and collection systems and services into a single application. ITS.gov supports the Financial Management Service's mission to provide centralized federal payment, collection, and reporting services. ITS.gov processes payments (FY07 3.4 million items, \$14.6 billion) to more than 150 countries on behalf of 175 agencies, including Department of Defense, Social Security Administration, etc. Primary gaps addressed by ITS.gov include: multiple international payment systems across government, large cash balances held outside of Treasury, inefficient cash management practices, inability to meet legislative (OFAC compliance) and Federal Program Agency (FPA) system changes/requests quickly, labor intensive manual processes, delayed payments (approx. 30 days to receive payment), and inaccurate financial reporting (i.e. SF224). Justification: ITS.gov provides a single comprehensive international payment, collection, reporting system to Treasury-Disbursed Offices (TDO) and Non Treasury-Disbursed Offices (NTDO). Electronic payments are received within 2 business days and check payments are received within 5 business days. Agencies receive electronic reports which enable them to meet Treasury's financial reporting requirements and timeframes. Benefits: File format suitable to agency, data consolidated in a single relational database, distributed stable processing platform, supports single sign-on capabilities, ability to efficiently implement legislative mandated programming changes, operational efficiencies resulting from reduction of manual processing, expedited payment delivery, reduces foreign exchange costs through economies of scale, multiple payment and foreign exchange options, enhanced security with multiple verification levels, formalized performance management and tracking, and agencies do not pay for development or maintenance. ITS.gov supports the FMS' Enterprise Architecture, Treasury and FMS strategic goals, and federal cash management regulations. ITS.gov is linked directly with FMS strategic goals: Goal 1-Provide Federal Payments timely and accurately, and Goal 6 Establishing policies and processes to facilitate the integration of e-commerce technologies into FMS' business programs and infrastructure; Treasury strategic goal: manage the U.S. Government's Finances Effectively; President's Management Agenda: Improved Financial Performance.

9. Did the Agency's Executive/Investment Committee approve this request?  
yes

10. Did the Program/Project Manager review this Exhibit?  
yes

11. Program/Project Manager Name:

Program/Project Manager Phone:

Program/Project Manager Email:

- 11.a. What is the current FAC-P/PM certification level of the project/program manager?  
New Program Manager
- 11.b. When was the Program/Project Manager Assigned?  
2008-04-30
- 11.c. What date did the Program/Project Manager receive the FACP/PM certification? If the certification has not been issued, what is the anticipated date for certification?  
2009-04-30
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.  
yes
- 12.a. Will this investment include electronic assets (including computers)?  
yes
- 12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)  
no
13. Does this investment directly support one of the PMA initiatives?  
yes
- If yes, select the initiatives that apply:  
Expanded E-Government  
Financial Performance
- 13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)  
ITS.gov supports Financial Performance and Expanded E-Government. A government-wide e-commerce solution, ITS.gov consolidates multiple legacy systems into a single, comprehensive international payment, collection, and reporting application. ITS.gov increases accessibility, and improves the quality/timeliness of financial data (auditable at the transaction level).
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?  
yes
- 14.a. If yes, does this investment address a weakness found during the PART review?  
yes
- 14.b. If yes, what is the name of the PARTed program?  
10004102 - Financial Management Service Payments
- 14.c. If yes, what rating did the PART receive?  
Effective
15. Is this investment for information technology?  
yes
16. What is the level of the IT Project (per CIO Council's PM Guidance)?  
Level 3
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)  
(1) Project manager has been validated as qualified for this investment
18. Is this investment identified as high risk on the Q4 - FY 2008 agency high risk report (per OMB memorandum M-05-23)?  
no
19. Is this a financial management system?  
yes
- 19.a. If yes, does this investment address a FFMI compliance area?  
yes
- 19.a.1. If yes, which compliance area:  
Federal Financial management system requirements, applicable Federal accounting standards, and the Standard General Ledger (SGL) at the transaction level.
- 19.b. If yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.  
Regional Financial Center (RFC) Payment Systems "RFC PAY International Treasury Services" ITS.GOV

20. What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)

Area	Percentage
Hardware	1
Software	0
Services	62
Other	37

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?  
n/a

22. Contact information of individual responsible for privacy related questions.

Name

Phone Number

Title

Email

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?  
no

24. Does this investment directly support one of the GAO High Risk Areas?  
no

#### SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

Cost Type	Py-1 & Earlier -2007	PY 2008	CY 2009	BY 2010
Planning Budgetary Resources	5.190	1.310	0.000	0.000
Acquisition Budgetary Resources	12.728	3.102	3.190	3.117
Maintenance Budgetary Resources	16.919	5.048	6.549	6.015
Government FTE Cost	1.650	0.332	0.341	0.351
# of FTEs	3	3	3	3

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?  
no

**PERFORMANCE**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding Measurement Area and Measurement Grouping identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at [www.egov.gov](http://www.egov.gov). The table can be extended to include performance measures for years beyond the next President's Budget.

	<b>Fiscal Year</b>	<b>Strategic Goal Supported</b>	<b>Measurement Area</b>	<b>Measurement Grouping</b>	<b>Measurement Indicator</b>	<b>Baseline</b>	<b>Planned Improvement to the Baseline</b>	<b>Actual Results</b>
<b>1</b>	2007	Effectively Managed U.S. Government Finances	Mission and Business Results	Payments	Maintain percentage of ITS.gov EFT and Check payments made accurately, as directed by Federal agency and payment recipient, and on time based on the requested settlement date.	99.95%	97%	99.95%
<b>2</b>	2008	Effectively Managed U.S. Government Finances	Mission and Business Results	Payments	Maintain percentage of ITS.gov EFT and Check payments made accurately, as directed by Federal agency and payment recipient, and on time based on the requested settlement date.	99.95%	97%	100% YTD (Q2-08)
<b>3</b>	2009	Effectively Managed U.S. Government Finances	Mission and Business Results	Payments	Maintain percentage of ITS.gov EFT and Check payments made accurately, as directed by Federal agency and payment recipient, and on time based on the requested settlement date.	99.95%	97%	To be provided by the end of Q4.
<b>4</b>	2010	Effectively Managed	Mission and Business	Central Fiscal Operations	Maintain percentage of	99.95%	97%	To be provided

		U.S. Government Finances	Results		ITS.gov EFT and Check payments made accurately, as directed by Federal agency and payment recipient, and on time based on the requested settlement date.			by the end of Q4.
5	2011	Effectively Managed U.S. Government Finances	Mission and Business Results	Central Fiscal Operations	Maintain percentage of ITS.gov EFT and Check payments made accurately, as directed by Federal agency and payment recipient, and on time based on the requested settlement date.	99.95%	97%	To be provided by the end of Q4.
6	2012	Effectively Managed U.S. Government Finances	Mission and Business Results	Central Fiscal Operations	Maintain percentage of ITS.gov EFT and Check payments made accurately, as directed by Federal agency and payment recipient, and on time based on the requested settlement date.	99.95%	97%	To be provided by the end of Q4.
7	2007	Effectively Managed U.S. Government Finances	Customer Results	Accuracy of Service or Product Delivered	Percentage of payments achieving Straight-Through-Processing is a critical measure of payments issued versus payments returned.	99.5%	95%	99.5%
8	2008	Effectively Managed U.S. Government Finances	Customer Results	Accuracy of Service or Product Delivered	Percentage of payments achieving Straight-Through-Processing is a critical measure of payments issued versus payments returned.	99.5%	95%	99.54% YTD (Q2-08)
9	2009	Effectively Managed U.S. Government Finances	Customer Results	Accuracy of Service or Product Delivered	Percentage of payments achieving Straight-Through-Processing is a critical measure of payments issued versus payments returned.	99.5%	95%	To be provided by the end of Q4.
10	2010	Effectively	Customer	Accuracy of	Percentage of	99.5%	95%	To be

		Managed U.S. Government Finances	Results	Service or Product Delivered	payments achieving Straight-Through-Processing is a critical measure of payments issued versus payments returned.			provided by the end of Q4.
11	2011	Effectively Managed U.S. Government Finances	Customer Results	Accuracy of Service or Product Delivered	Percentage of payments achieving Straight-Through-Processing is a critical measure of payments issued versus payments returned.	99.5%	95%	To be provided by the end of Q4.
12	2012	Effectively Managed U.S. Government Finances	Customer Results	Accuracy of Service or Product Delivered	Percentage of payments achieving Straight-Through-Processing is a critical measure of payments issued versus payments returned.	99.5%	95%	To be provided by the end of Q4.
13	2007	Effectively Managed U.S. Government Finances	Processes and Activities	Timeliness	Percentage of foreign exchange rates available to customers by 8AM Eastern Time.	96.84%	96%	96.84%
14	2008	Effectively Managed U.S. Government Finances	Processes and Activities	Timeliness	Percentage of foreign exchange rates available to customers by 8AM Eastern Time.	96.84%	96%	97.62% YTD (Q2-08)
15	2009	Effectively Managed U.S. Government Finances	Processes and Activities	Timeliness	Percentage of foreign exchange rates available to customers by 8AM Eastern Time.	96.84%	96%	To be provided by the end of Q4.
16	2010	Effectively Managed U.S. Government Finances	Processes and Activities	Timeliness	Percentage of foreign exchange rates available to customers by 8AM Eastern Time.	96.84%	96%	To be provided by the end of Q4.
17	2011	Effectively Managed U.S. Government Finances	Processes and Activities	Timeliness	Percentage of foreign exchange rates available to customers by 8AM Eastern Time.	96.84%	96%	To be provided by the end of Q4.
18	2012	Effectively Managed U.S. Government Finances	Processes and Activities	Timeliness	Percentage of foreign exchange rates available to customers by 8AM Eastern Time.	96.84%	96%	To be provided by the end of Q4.
19	2007	Effectively Managed U.S. Government	Technology	Availability	Maintain percentage of system availability to users (22 hrs	99.76%	97%	99.76%

		Finances			per day excluding 9pm-11pm ET).			
20	2008	Effectively Managed U.S. Government Finances	Technology	Availability	Maintain percentage of system availability to users (22 hrs per day excluding 9pm-11pm ET).	99.76%	97%	99.9% YTD (Q2-08)
21	2009	Effectively Managed U.S. Government Finances	Technology	Availability	Maintain percentage of system availability to users (22 hrs per day excluding 9pm-11pm ET).	99.76%	97%	To be provided by the end of Q4.
22	2010	Effectively Managed U.S. Government Finances	Technology	Availability	Maintain percentage of system availability to users (22 hrs per day excluding 9pm-11pm ET).	99.76%	97%	To be provided by the end of Q4.
23	2011	Effectively Managed U.S. Government Finances	Technology	Availability	Maintain percentage of system availability to users (22 hrs per day excluding 9pm-11pm ET).	99.76%	97%	To be provided by the end of Q4.
24	2012	Effectively Managed U.S. Government Finances	Technology	Availability	Maintain percentage of system availability to users (22 hrs per day excluding 9pm-11pm ET).	99.76%	97%	To be provided by the end of Q4.

### Enterprise Architecture

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In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?  
yes
2. Is this investment included in the agency's EA Transition Strategy?  
yes
- 2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.  
International Treasury Services - ITS.gov
3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?  
yes
- 3.a. If yes, provide the six digit code corresponding to the agency segment architecture. The segment architecture codes are maintained by the agency Chief Architect.  
175-000
4. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

5. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

no

## PART TWO

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### RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2008-07-10

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

no

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

ITS.gov has developed a risk management plan and register that have been accounted for in the life cycle cost and schedule. The project has identified a number of risks specific to ITS.gov, including open risks related to Schedule, Initial Cost, Life Cycle Cost, Technical Obsolescence, Feasibility, Reliability, Future Procurements, Project Management, Technology, Strategic, Security, Privacy, and other OMB risk categories. The costs associated with each risk are integrated into earned value management (EVM) for the project and are monitored weekly, reviewed monthly by the project management team, and discussed quarterly by the Management Steering Group. Since ITS.gov resides on the Treasury Web Application Infrastructure (TWA1), several of the risks are shared with that framework and the impact to life cycle costs is minimized. Other risks, such as rule changes related to international Automated Clearing House (ACH) payments, could impact scope, costs, and schedule and have been accounted for in cost and schedule planning for the investment. The response strategy to each risk is accounted for in each milestone of the project's work breakdown structure and the life cycle cost estimate is risk adjusted. The risk adjusted costs have been reviewed to ensure they are consistent with the level of risk in ITS.gov. FRB NY acts as the fiscal agent for FMS on this investment. In future Risk Management Plans any risk associated with the use of a financial or fiscal agent will be addressed. In general, there is less risk in using financial and fiscal agents since they are fully involved in the business process, perform required services

to Treasury in a fiduciary capacity and have a duty to perform in the Government's best interests. Treasury's IT Investment Review Board, the Division Information Officer (DIO) Council, exercises robust oversight over Exhibit 300 IT capital investments, including those IT services performed by financial and fiscal agents, to ensure that an effective acquisition strategy is employed, that risks are appropriately managed, and that costs are controlled during the entire lifecycle of a project. FMS requires that EVM be incorporated into all investments and has specifically required that financial and fiscal agents use EVM. Risk adjustments for life cycle costs and schedule variances lessen the impact of uncertainties and contribute to reporting tolerable cost and schedule variances as ITS.gov has done and will continue to do.

#### **COST & SCHEDULE**

1. Does the earned value management system meet the criteria in ANSI/EIA Standard 748?  
yes
2. Is the CV% or SV% greater than  $\pm 10\%$ ?  
no
3. Has the investment re-baselined during the past fiscal year?  
no