









		Organizational Excellence		Customer, or Mission	Level			
11	2009	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	Customer Results	Service Availability	# of helpdesk tickets received due to access issues < 5% of total received per quarter	10	5	
12	2009	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	Mission and Business Results	Intelligence Collection	Increase the number of TFIN system users by 10% per year over the next 5 years to 250 users	154	170	

### Enterprise Architecture

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?  
yes

2. Is this investment included in the agency's EA Transition Strategy?  
yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

Treasury Foreign Intelligence Network (TFIN) is an intelligence system, and aligned with the EA developed by the Intelligence Community (IC).

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?  
no

3.a. If yes, provide the six digit code corresponding to the agency segment architecture. The segment architecture codes are maintained by the agency Chief Architect.  
113-000

4. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	<b>Agency Component Name</b>	<b>Agency Component Description</b>	<b>Service Type</b>	<b>Component</b>	<b>Reused Component Name</b>	<b>Reused UPI</b>	<b>Internal or External Reuse?</b>	<b>Funding %</b>
1	Customer feedback	Used to collect, analyze and handle comments and feedback from TFIN customers	Customer Relationship Management	Customer Feedback			No Reuse	1
2	Alerts and Notifications	Allows a customer to be contacted in relation to a subscription or service	Customer Preferences	Alerts and Notifications			No Reuse	4
3	Online Help	Will provide an electronic Interface to customer assistance	Customer Initiated Assistance	Online Help			No Reuse	2
4	Process tracking	Will allow the monitoring of activities within the business cycle	Tracking and Workflow	Process Tracking			No Reuse	5
5	Case Management	Will allow analysts to manage the life cycle of a claim or investigation within their discrete organization	Tracking and Workflow	Case Management			No Reuse	10
6	Inbound Correspondence Management	Manages communications between the organization and its stakeholders	Routing and Scheduling	Inbound Correspondence Management			No Reuse	8
7	Outbound Correspondence Management	Manages communications between the organization and its stakeholders	Routing and Scheduling	Outbound Correspondence Management			No Reuse	8
8	Configuration Management	Will control the HW and SW environments and document them for review	Management of Processes	Configuration Management			No Reuse	3
9	Quality Management	Used to determine the level that services will satisfy discrete requirements as contained in the SRD	Management of Processes	Quality Management			No Reuse	3

10	Risk Management	Used to support the identification and probabilities of hazards as related to the projects tasks, decisions and long term goals	Management of Processes	Risk Management			No Reuse	3
11	Network Management	Used to Monitor and maintain the communications network so as to diagnose problems, collect statistics, and provide access to users	Organizational Management	Network Management			No Reuse	3
12	Performance Management	Used to measure the effectiveness of the project's financial assets	Investment Management	Performance Management			No Reuse	5
13	Content Authoring	Will allow the creation of tutorials, Web sites, and other interactive programs on the TFIN	Content Management	Content Authoring			No Reuse	10
14	Content Review and Approval	Will allow the creation of tutorials, Web sites, and other interactive programs on the TFIN and their subsequent approval	Content Management	Content Review and Approval			No Reuse	10
15	Information Sharing	Will support the use of documents and data in a multi user environment for use by the TFIN users and Stakeholders	Knowledge Management	Information Sharing			No Reuse	7
16	Record Linking & Association	Used to support the correlation between logical data and information sets	Records Management	Record Linking / Association			No Reuse	3
17	Data Mining	Will provide for the efficient discovery of non-obvious and valuable patterns and relationships within the data available on the TFIN databases	Knowledge Discovery	Data Mining			No Reuse	5

		and data warehouses						
18	Data Warehouse	Supports the usage, processing and general administration of unstructured information	Data Management	Data Warehouse			No Reuse	5
19	Data Integration	Supports the usage, processing and general administration of unstructured information	Development and Integration	Data Integration			No Reuse	5

5. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Risk Management	Component Framework	Data Management	Reporting and Analysis	Oracle
2	Customer Feedback	Component Framework	Data Management	Reporting and Analysis	MS SharePoint
3	Process Tracking	Component Framework	Data Management	Reporting and Analysis	MS SharePoint
4	Data Warehouse	Component Framework	Data Management	Reporting and Analysis	Oracle
5	Information Sharing	Component Framework	User Presentation / Interface	Content Rendering	MS SharePoint
6	Inbound Correspondence Management	Service Access and Delivery	Access Channels	Collaboration / Communications	MS SharePoint
7	Outbound Correspondence Management	Service Access and Delivery	Access Channels	Collaboration / Communications	MS SharePoint
8	Content Review and Approval	Service Access and Delivery	Access Channels	Other Electronic Channels	MS SharePoint
9	Content Review and Approval	Service Access and Delivery	Access Channels	Collaboration / Communications	MS SharePoint
10	Information Sharing	Service Access and Delivery	Access Channels	Other Electronic Channels	MS SharePoint
11	Information Sharing	Service Access and Delivery	Access Channels	Web Browser	MS SharePoint
12	Alerts and	Service Access and	Delivery Channels	Internet	MS SharePoint

	Notifications	Delivery			
13	Inbound Correspondence Management	Service Access and Delivery	Delivery Channels	Intranet	MS SharePoint
14	Outbound Correspondence Management	Service Access and Delivery	Delivery Channels	Intranet	MS SharePoint
15	Alerts and Notifications	Service Access and Delivery	Service Transport	Service Transport	MS SharePoint
16	Online Help	Service Access and Delivery	Service Transport	Service Transport	MS SharePoint
17	Data Warehouse	Service Interface and Integration	Interoperability	Data Format / Classification	Oracle
18	Data Integration	Service Interface and Integration	Interoperability	Data Transformation	Oracle
19	Configuration Management	Service Interface and Integration	Interoperability	Data Transformation	Oracle
20	Data Warehouse	Service Interface and Integration	Interoperability	Data Types / Validation	Oracle
21	Content Authoring	Service Platform and Infrastructure	Delivery Servers	Application Servers	MS SharePoint
22	Process Tracking	Service Platform and Infrastructure	Software Engineering	Modeling	MS SharePoint
23	Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Oracle
24	Quality Management	Service Platform and Infrastructure	Software Engineering	Test Management	Oracle
25	Online Help	Service Access and Delivery	Access Channels	Web Browser	Oracle
26	Information Sharing	Service Access and Delivery	Access Channels	Collaboration / Communications	MS SharePoint
27	Network Management	Service Access and Delivery	Delivery Channels	Internet	Oracle
28	Case Management	Service Access and Delivery	Delivery Channels	Intranet	MS SharePoint
29	Network Management	Service Access and Delivery	Service Transport	Supporting Network Services	Oracle
30	Case Management	Service Platform and Infrastructure	Support Platforms	Dependent Platform	MS SharePoint
31	Information Sharing	Service Platform and Infrastructure	Delivery Servers	Application Servers	MS SharePoint
32	Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Oracle
33	Data Mining	Service Platform and Infrastructure	Database / Storage	Database	Oracle
34	Data Warehouse	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Oracle
35	Case Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	MS SharePoint

36	Information Sharing	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	MS SharePoint
37	Information Sharing	Service Platform and Infrastructure	Hardware / Infrastructure	Video Conferencing	MS SharePoint
38	Data Warehouse	Component Framework	Security	Supporting Security Services	Oracle
39	Case Management	Component Framework	Data Interchange	Data Exchange	MS SharePoint
40	Record Linking / Association	Component Framework	Data Management	Database Connectivity	Oracle
41	Performance Management	Component Framework	Data Management	Reporting and Analysis	Oracle
42	Case Management	Service Interface and Integration	Interoperability	Data Format / Classification	MS SharePoint

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

yes

6.a. If yes, please describe.

The project involves certain applications, processes, and architectures adapted from the Intelligence Community technical architecture. The modernized TFIN system will provide an increased analytical toolset, enhanced ability to share data warehouses with other IC organizations, and provide a robust and modular infrastructure capable of growing with the mission.

## PART TWO

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### RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2007-05-17

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

no

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

In accordance with the Treasury information systems development life cycle methodology and the project management process, the TFIN project team conducts risk analysis of the project throughout the life cycle process. The cost, schedule, and technical approach used for the investment are reviewed against risks that are identified, and mitigation approaches are developed for each identified risk. These mitigation approaches are then incorporated into the program cost and schedule baselines. Program office uses the assigned probability of the risk occurring, and its impact in calculating the risk adjusted cost and schedule. Risks are re-evaluated at appropriate control gates such as design reviews or test reviews and adjustments are made if necessary.