

Exhibit 300 (BY2010)

PART ONE

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OVERVIEW

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1. Date of Submission:  
2009-04-10
2. Agency:  
015
3. Bureau:  
45
4. Name of this Capital Asset:  
Web Currency Banking and Retrieval System (WebCBRS) - (Major)
5. Unique Project Identifier:  
015-45-01-14-01-2298-00
6. What kind of investment will this be in FY2010?  
Mixed Life Cycle
7. What was the first budget year this investment was submitted to OMB?  
FY2010
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.  
The Web Currency and Banking Retrieval System (WebCBRS) is an on-line DB2 database that contains Bank Secrecy Act (BSA) information that is used by IRS field agents in Small Business Self Employed (SB/SE), Large and Mid-Sized Small Business (LMSB), Criminal Investigations Divisions (CID) and Local, State and Federal law enforcement agencies. WebCBRS supports Treasury Strategic Goal Prevented Terrorism and Promoted the National Security Through Strengthened International Financial Systems  by supporting the financial and investigative activities of law enforcement, regulators and multiple IRS field and support functions for drug trafficking, terrorist financing, anti-money laundering efforts, tax evasion, and many other financial related crimes. WebCBRS is instrumental in the detection and analysis of suspicious and illegal financial activity and is a vital instrument in the war on terror.
9. Did the Agency's Executive/Investment Committee approve this request?  
yes
- 9.a. If "yes," what was the date of this approval?  
2008-06-26
10. Did the Program/Project Manager review this Exhibit?  
yes
11. Program/Project Manager Name:  
Miller, Paula  
Program/Project Manager Phone:  
313-234-1965  
Program/Project Manager Email:  
Paula.Miller@IRS.gov
- 11.a. What is the current FAC-P/PM certification level of the project/program manager?  
Program Manager
- 11.b. When was the Program/Project Manager Assigned?  
2008-06-18
- 11.c. What date did the Program/Project Manager receive the FACP/PM certification? If the certification has not been issued, what is the anticipated date for certification?  
2009-06-18
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.  
no

12.a. Will this investment include electronic assets (including computers)?

yes

13. Does this investment directly support one of the PMA initiatives?

yes

If yes, select the initiatives that apply:

Expanded E-Government

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

WebCBRS supports an Expanded E-Government  by providing a centralized and authoritative data repository of electronically filed Bank Secrecy Act (BSA) forms and documents made mandatory by the Bank Secrecy Act, and its implementing regulation, 31 CFR 103.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?

yes

14.a. If yes, does this investment address a weakness found during the PART review?

yes

14.b. If yes, what is the name of the PARTed program?

10004101 - Bank Secrecy Act Data Collection, Retrieval and Sharing

14.c. If yes, what rating did the PART receive?

Moderately Effective

15. Is this investment for information technology?

yes

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 2

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(2) Project manager qualification is under review for this investment

18. Is this investment identified as high risk on the Q4 - FY 2008 agency high risk report (per OMB memorandum M-05-23)?

no

19. Is this a financial management system?

no

20. What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)

Area	Percentage
Hardware	0
Software	0
Services	95
Other	5

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

yes

22. Contact information of individual responsible for privacy related questions.

Name

John N. Sobczak

Phone Number

313-234-1072

Title

Chief, CTR Operations

Email

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23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

yes

24. Does this investment directly support one of the GAO High Risk Areas?

no



1	2009	Prevented Terrorism and Promoted the National Security Through Strengthened International Financial Systems	Mission and Business Results	Criminal Investigation and Surveillance	Reduce SB/SE paper processing costs by increasing the percentage of electronically filed documents posted to WebCBRS.	78%	80%	Q1 - 78.2%
2	2009	Prevented Terrorism and Promoted the National Security Through Strengthened International Financial Systems	Customer Results	Accuracy of Service or Product Delivered	Increase the accuracy rate for BSA Field Examiners in Quality Factor #101, Pre-Plan Analysis, through the effective use of WebCBRS as a research tool.	40%	42%	
3	2009	Prevented Terrorism and Promoted the National Security Through Strengthened International Financial Systems	Processes and Activities	Efficiency	Increase the number of Title 31 Referrals/Information Reports to the Examination Function through effective use of WebCBRS to identify additional sources of income	1201	1225	
4	2009	Prevented Terrorism and Promoted the National Security Through Strengthened International Financial Systems	Technology	Availability	Maintain level of system availability required by users accessing WebCBRS	97%	98%	Q1 - 98.47%
5	2010	Prevented Terrorism and Promoted the National Security Through Strengthened International Financial Systems	Mission and Business Results	Criminal Investigation and Surveillance	Reduce SB/SE paper processing costs by increasing the percentage of electronically filed documents posted to WebCBRS.	80	82	
6	2010	Prevented Terrorism and Promoted the National Security Through Strengthened International Financial Systems	Customer Results	Accuracy of Service or Product Delivered	Increase the accuracy rate for BSA Field Examiners in Quality Factor #101, Pre-Plan Analysis, through the effective use of WebCBRS as a research tool.	42	43	
7	2010	Prevented Terrorism and	Processes and Activities	Efficiency	Increase the number of Title 31	1201	1225	

		Promoted the National Security Through Strengthened International Financial Systems			Referrals/Information Reports to the Examination Function through effective use of WebCBRS to identify additional sources of income.			
8	2010	Prevented Terrorism and Promoted the National Security Through Strengthened International Financial Systems	Technology	Availability	Maintain level of system availability required by users accessing WebCBRS	97	94	

### Enterprise Architecture

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?  
yes

2. Is this investment included in the agency's EA Transition Strategy?  
yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.  
Currency & Banking Retrieval System (CBRS)

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?  
no

3.a. If yes, provide the six digit code corresponding to the agency segment architecture. The segment architecture codes are maintained by the agency Chief Architect.  
115-000

4. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External	Funding %
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							Reuse?	
1	Process Tracking	Allow the monitoring of activities within the business cycle.	Tracking and Workflow	Process Tracking			No Reuse	20
2	Information Retrieval	Allow access to data and information for use by an	Knowledge Management	Information Retrieval			No Reuse	20
3	Knowledge Discovery	Facilitate collection of data and information.	Knowledge Management	Knowledge Capture			No Reuse	20
4	Knowledge Distribution and Delivery	Support the transfer of knowledge to the end customer.	Knowledge Management	Knowledge Distribution and Delivery			No Reuse	20
5	Data Warehouse	Support the archiving and storage of large volumes of data.	Data Management	Data Warehouse			No Reuse	20

5. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Process Tracking	Service Platform and Infrastructure	Software Engineering	Modeling	IBM Query Management Facility
2	Information Retrieval	Service Access and Delivery	Access Channels	Other Electronic Channels	URL
3	Information Retrieval	Service Access and Delivery	Service Requirements	Legislative / Compliance	Section 508
4	Information Retrieval	Service Access and Delivery	Service Transport	Service Transport	HTTP
5	Information Retrieval	Service Access and Delivery	Service Transport	Service Transport	HTTPS
6	Information Retrieval	Component Framework	Security	Certificates / Digital Signatures	SSL
7	Information Retrieval	Component Framework	User Presentation / Interface	Static Display	HTML
8	Information Retrieval	Service Interface and Integration	Interoperability	Data Types / Validation	XML
9	Knowledge Capture	Service Platform and Infrastructure	Database / Storage	Database	DB2
10	Knowledge Distribution and Delivery	Service Platform and Infrastructure	Delivery Servers	Application Servers	Windows.Net

11	Knowledge Distribution and Delivery	Component Framework	Business Logic	Platform Dependent Technologies	Javascript
12	Knowledge Distribution and Delivery	Component Framework	Business Logic	Platform Dependent Technologies	Microsoft VB.Net
13	Knowledge Distribution and Delivery	Component Framework	Data Management	Database Connectivity	DB2 Connector
14	Data Warehouse	Service Access and Delivery	Service Requirements	Hosting	IRS ECC-Detroit
15	Data Warehouse	Service Access and Delivery	Service Transport	Service Transport	IPv4
16	Data Warehouse	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	IBM Mainframe
17	Data Warehouse	Service Access and Delivery	Service Transport	Service Transport	IPv6

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

no

## PART TWO

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### RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2008-02-29

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

yes

1.c. If yes, describe any significant changes:

WebCBRS is being promoted from a non-major to a major application for the FY10 submission to OMB. The WebCBRS Risk Management Plan was newly developed in February 2008 to support the promotion of the investment to a major.

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

The project team has estimated both cost and schedule activities to mitigate significant project risks in accordance with the IRS Contingency Management process; which establishes an organized and consolidated approach for managing risk response activities throughout the project life cycle. Where appropriate the cost and activities to mitigate risks have been incorporated into both the project's cost estimations and schedules according to sound project management practices.

### COST & SCHEDULE

1. Does the earned value management system meet the criteria in ANSI/EIA Standard 748?  
yes

2. Is the CV% or SV% greater than  $\hat{A} \pm 10\%$ ?  
no

3. Has the investment re-baselined during the past fiscal year?  
no