

## Departmental Offices

### Performance Report for FY2001

Actual Performance Figures are in **bold**, Final Targets are in plain text, and Proposed Targets and Projected Actuals are *italicized*.  
Targets which were not met are shown in red.

**Mission:** The Mission of Departmental Offices is to formulate and oversee implementation of domestic and international financial, tax, economic, enforcement, and management policies of the Federal government. These policies are formulated and implemented to ensure the growth and stability of the US economy; strengthen the recognition of the US as a world leader; promote domestic and international security and prosperity; and ensure the program, financial, and information technology effectiveness, efficiency, and integrity of all Treasury organizations.

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**Budget Activity:** Enforcement Policies and Programs

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**Performance Goal:**

Effective oversight of law enforcement bureaus

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Percentage of performance targets met by enforcement bureaus and major offices (USCS, ATF, USSS, FLETC, FinCEN, TFF)	<b>64%</b>	<b>77%</b> View Explanation	82%	<b>79%</b> View Explanation

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**Budget Activity:** Economic Policies and Programs

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**Performance Goal:**

Promote Economic Growth and Sound Policies in Developing and Transitional Economies.

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
<a href="#">View Definition and Validation</a> Economic conditions in developing countries	Met	Met <a href="#">View Explanation</a>	Met	4 <a href="#">View Explanation</a>

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**Performance Goal:**

Strengthen International Financial Institutions that Promote Global Stability and Support Developing and Transitional Economies.

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
<a href="#">View Definition and Validation</a> U.S. meets current financing commitments and pays all arrears to multilateral development banks (\$ in millions)	\$335	\$451	\$499	\$499 <a href="#">View Explanation</a>

<a href="#">View Definition and Validation</a> Economic conditions in transitional countries	Met	Met <a href="#">View Explanation</a>	Met	4.9 <a href="#">View Explanation</a>
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**Performance Goal:**

Monitor the Global Economy and Promote International Economic Leadership Through Cooperation on Economic Policy.

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Economic conditions in foreign countries that are major U.S. trading partners - Canada	<b>Met</b>	<b>Met</b> View Explanation	Met	<b>1.5</b> View Explanation
View Definition and Validation Economic conditions in foreign countries that are major U.S. trading partners - European Union (Euro Area)	<b>Met</b>	<b>Met</b> View Explanation	Met	<b>1.5</b> View Explanation
View Definition and Validation Economic conditions in foreign countries that are major U.S. trading partners - United Kingdom	<b>Met</b>	<b>3</b> View Explanation	Met	<b>2.4</b> View Explanation
View Definition and Validation Economic conditions in foreign countries that are major U.S. trading partners - Mexico	<b>Met</b>	<b>6.7</b> View Explanation	Met	<b>Met</b> View Explanation

View Definition and Validation				
Economic conditions in foreign countries that are major U.S. trading partners - Japan	<b>Met</b>	<b>Met</b>	Met	<b>-0.4</b>
		View Explanation		View Explanation

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View Definition and Validation				
Economic conditions in foreign countries that are major U.S. trading partners - China	<b>Met</b>	<b>7.7</b>	Met	<b>7.3</b>
		View Explanation		View Explanation

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**Performance Goal:**

Facilitate Legitimate Trade, Enhance Access to Foreign Markets, and Enforce Trade Agreements.

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation				
Dollar value of U.S. exports of goods and services (\$ in billions)	<b>Met</b>	<b>Met</b>	1,000	<b>1,004</b>
		View Explanation		View Explanation

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**Performance Goal:**

Strengthen Stability and Efficiency of Global Capital Markets/Promote Framework for International Investment.

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Level of U.S. direct investment abroad (\$ in billions)	Met	Met View Explanation	Met	View Explanation

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**Budget Activity:** Financial Policies and Programs

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**Performance Goal:**

Finance the Federal Government in the most cost-effective over the long term.

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Percent of borrowing policies and borrowing requirements announced to financial market participants in a timely manner	100%	100%	100%	100%

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**Performance Goal:**

Effective oversight of Bureau of the Public Debt, Financial Management Service, and Community Development Financial Institutions.

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Percentage of performance targets met by Domestic Finance bureaus (BPD, FMS, and CDFI)	73%	83% <a href="#">View Explanation</a>	90%	87.9% <a href="#">View Explanation</a>

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**Budget Activity:** Treasury-wide Management Policies and Programs

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**Performance Goal:**

Improve the accuracy, timeliness, and utility of all accounting and financial information

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Delivery date of Treasurywide financial statement and audit opinion (Target: March 1/Qualified)	Met	Met	Met	Met

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**Performance Goal:**

Reduce/prevent internal control/audit resolution "open items"

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Number of open material weaknesses	49	32 <a href="#">View Explanation</a>	28	28 <a href="#">View Explanation</a>

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**Performance Goal:**

Ensure IT investments improve program performance and facilitate mission goals

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Percent of new IT capital investments that are within costs, on schedule, and meeting performance targets		<a href="#">View Explanation</a>	100%	0% <a href="#">View Explanation</a>

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**Performance Goal:**

Improve management operations by increasing the efficiency of the EEO process.

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Percent of bureaus with mechanisms to allow for early complaint resolution through Alternative Dispute Resolution	0%	39%  View Explanation	80%	80%
View Definition and Validation Percent of EEO complaints resolved at the informal stage	40%	38%	40%	40%
View Definition and Validation Percent of EEO complaints resolved at the formal stage [BASELINE IN FY 2001]				37%

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**Performance Goal:**

Facilitate Bureau Reengineering of Core HR Business Practices to Provide Better Support to Treasury Missions

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Percent implementation of Human Resources Connect System modules	12%	19.5%	25%	28.5%

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**Performance Goal:**

Conduct workforce planning to develop strategies for addressing trends that may negatively impact on Treasury's ability to recruit and maintain a strong workforce.

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Percent of major Treasury occupations for which workforce planning processes have been completed with workforce strategies developed as needed			50%	100%

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Explanation

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**Performance Goal:**

Integrate bureau financial data into a Department-wide financial analysis and reporting system.

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Percent of universal access to Department-wide financial analysis and reporting system		8%	100%	100%

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View Definition and Validation Percent of submission that are timely and contain quality data	50%	90%	100%	100%
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**Performance Goal:**

Ensure compliance with FFMIA.

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Percent of bureaus in compliance with FFMIA financial systems requirements	54%	54%	62%	67%

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View Definition and Validation Percentage of bureaus in compliance with FFMIA accounting standards	54%	54%	62%	67%
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View Definition and Validation Percent of bureaus in compliance with FFMIA Standard Ledger requirements	54%	54%	62%	67%
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**Performance Goal:**

Improve efficiencies through use of purchase cards for acquisitions under \$2,500.

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Percent of micro-purchase actions			80%	98%

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**Performance Goal:**

Maximize the use of competitive procedures for Treasury acquisitions.

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Percent of contract dollars over \$25,000 that are competed	86.7%	93.8%	75%	89%

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View Definition and Validation Percent of contract actions over \$25,000 that are competed	85.8%	86%	70%	86%
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**Performance Goal:**

Ensure that a fair proportion of the total dollars expended for purchases, contracts, and subcontracts for goods and services are placed with small business enterprises.

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Percent of contract dollars awarded to small business enterprises	35.7%	32.1%	23%	34%

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**Performance Goal:**

Improve computer security across the Department by ensuring that all Treasury IT systems are certified and accredited to operate.

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Percent of all (IT) systems that are currently certified and accredited to operate		7%	20%	15.5%
				View Explanation

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### **Performance Measure**

Percentage of performance targets met by enforcement bureaus and major offices (USCS, ATF, USSS, FLETC, FinCEN, TFF)

### **Definition and Validation**

Definition: The Under Secretary for Enforcement has direct oversight over the Treasury law enforcement bureaus and works to assure their goals and measures are in conformance with policy. This measure reflects the success of this oversight.

Verification and Validation: Performance reports issued by the bureaus and major offices.

Data Accuracy: Reasonable accuracy

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### **Performance Measure**

Economic conditions in developing countries

### **Definition and Validation**

Definition: This measures the overall percent change in Gross Domestic Product (GDP) from the prior calendar year for all developing countries.

Verification and Validation: Economic data collected primarily from official foreign government sources, that is reviewed and/or supplemented by Federal agencies (e.g., State, CIA), international financial organizations (e.g., World Bank, International Monetary Fund (IMF), Organization for Economic Development and Cooperation (OECD), and private banking, investment, and trading firms). The accuracy of the data collected (which includes GDP, balance of payments, official financial reserves, rate of inflation, money supply, exchange rates, unemployment rate, budget deficit, and external debt) differs considerably by foreign country.

Data Accuracy: Reasonable Accuracy

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### **Performance Measure**

U.S. meets current financing commitments and pays all arrears to multilateral development banks (\$ in millions)

### **Definition and Validation**

Definition: This measures the amount of unpaid commitments (arrears) the U.S. owes to the multilateral development banks.

Verification and Validation: The Department of the Treasury represents the U.S. Government in multilateral negotiations on the periodic replenishment of financial resources of the multilateral development banks (MDBs). These agreements detail the financial elements, including the U.S. contribution, and the policy framework within which these resources will be used. U.S. participation in these agreements requires Congressional authorization. As part of the annual appropriations process, Treasury requests funding sufficient to meet the U.S. annual scheduled contributions to respective institutions as contained in these agreements. Under-funding of the Administration's request can result in the accumulation of U.S. arrears to the MDBs.

Data Accuracy: Reasonable accuracy

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### **Performance Measure**

Economic conditions in transitional countries

### **Definition and Validation**

Definition: This measures the overall percent change in Gross Domestic Product (GDP) from the prior calendar year for all transitional countries.

Verification and Validation: Economic data collected primarily from official foreign government sources, that is reviewed and/or supplemented by Federal agencies (e.g., State, CIA), international financial organizations (e.g., World Bank,

International Monetary Fund (IMF), Organization for Economic Development and Cooperation (OECD), and private banking, investment, and trading firms). The accuracy of the data collected that includes: gross domestic product (GDP), balance of payments, official financial reserves, rate of inflation, money supply, exchange rates, unemployment rate, budget deficit, and external debt differs considerably by foreign country.

Data Accuracy: Reasonable accuracy

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### **Performance Measure**

Economic conditions in foreign countries that are major U.S. trading partners - Canada

### **Definition and Validation**

Definition: This measure the percent change over the prior year in gross domestic product of six major U.S. trading partners.

Verification and Validation: Growth rate - Major U.S. trading partners are identified based on volume of trade with the U.S., as reported by the Department of Commerce. These major trading partners report their gross domestic product (GDP) to the International Monetary Fund and publicly.

Data Accuracy: Reasonable accuracy

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### **Performance Measure**

Economic conditions in foreign countries that are major U.S. trading partners - European Union (Euro Area)

### **Definition and Validation**

Definition: This measure the percent change over the prior year in gross domestic product of six major U.S. trading partners.

Verification and Validation: Growth rate - Major U.S. trading partners are identified based on volume of trade with the U.S., as reported by the Department of Commerce. These major trading partners report their gross domestic product (GDP) to the International Monetary Fund and publicly.

Data Accuracy: Reasonable accuracy

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### **Performance Measure**

Economic conditions in foreign countries that are major U.S. trading partners - United Kingdom

### **Definition and Validation**

Definition: This measure the percent change over the prior year in gross domestic product of six major U.S. trading partners.

Verification and Validation: Growth rate - Major U.S. trading partners are identified based on volume of trade with the U.S., as reported by the Department of Commerce. These major trading partners report their gross domestic product (GDP) to the International Monetary Fund and publicly.

Data Accuracy: Reasonable accuracy

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### **Performance Measure**

Economic conditions in foreign countries that are major U.S. trading partners - Mexico

### **Definition and Validation**

Definition: This measure the percent change over the prior year in gross domestic product of six major U.S. trading partners.

Verification and Validation: Growth rate - Major U.S. trading partners are identified based on volume of trade with the U.S., as reported by the Department of Commerce. These major trading partners report their gross domestic product (GDP) to the International Monetary Fund and publicly.

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Economic conditions in foreign countries that are major U.S. trading partners - Japan

**Definition and Validation**

Definition: This measure the percent change over the prior year in gross domestic product of six major U.S. trading partners.

Verification and Validation: Growth rate - Major U.S. trading partners are identified based on volume of trade with the U.S., as reported by the Department of Commerce. These major trading partners report their gross domestic product (GDP) to the International Monetary Fund and publicly.

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Economic conditions in foreign countries that are major U.S. trading partners - China

**Definition and Validation**

Definition: This measure the percent change over the prior year in gross domestic product of six major U.S. trading partners.

Verification and Validation: Growth rate - Major U.S. trading partners are identified based on volume of trade with the U.S., as reported by the Department of Commerce. These major trading partners report their gross domestic product (GDP) to the International Monetary Fund and publicly.

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Dollar value of U.S. exports of goods and services (\$ in billions)

**Definition and Validation**

Definition: This measures, in billions, the value of goods and services the U.S. exports to other countries

Verification and Validation: Based upon export valuations collected and reported by the U.S. Customs Service, and compiled and reviewed by the U.S. Commerce Department

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Level of U.S. direct investment abroad (\$ in billions)

**Definition and Validation**

Definition: Direct investments are those where the U.S. investor owns more than 10% of the equity in a foreign company

Verification and Validation: The amount of investment is measured by the U.S. Trade Representative, Department of State, Treasury, and the OECD.

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Percent of borrowing policies and borrowing requirements announced to financial market participants in a timely manner

**Definition and Validation**

Definition: Treasury provides data to financial market participants with sufficient lead time to avoid surprises. This is a measure of the percentage of time announcements are made in a timely manner. The Office of the Under Secretary for Domestic Finance holds press conference announcements of borrowing policies, requirements and auction offerings giving a date and time for the auction to be held. The Bureau of Public Debt then conducts the auction at the announced time, tracks the results of the auction, and announces the auction results within an hour of the end of the auction.

Verification and Validation: The Federal Register contains the supporting data and serves as validation.

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Percentage of performance targets met by Domestic Finance bureaus (BPD, FMS, and CDFI)

**Definition and Validation**

Definition: The Under Secretary for Domestic Finance has direct oversight of these bureaus and the CDFI Fund and meets regularly with them. Success in this measure is predicated upon success by the bureaus in meeting their published performance measures.

Verification and Validation: Performance reports issued by BPD, FMS, and CDFI

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Delivery date of Treasurywide financial statement and audit opinion (Target: March 1/Qualified)

**Definition and Validation**

Definition: This measures the quality of Treasury's financial statements in terms of the opinion rendered as a result of an independent audit.

Verification and Validation: Verification of both measures can be made from reviewing the Department's Accountability Report and observing the audit opinion rendered as well as the date of the Secretary's Message within the report.

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Number of open material weaknesses

**Definition and Validation**

Definition: Treasury wants to reduce and eventually eliminate the material weaknesses that currently exist within Treasury, while simultaneously taking actions which will serve to avoid new material weaknesses. Material weaknesses are significant problems with an organization's systems' reliability; controls on waste, fraud or abuse; mission performance; and/or compliance with laws and regulations, identified, identified by the General Accounting Office, Treasury's Inspectors General, and/or Treasury bureaus.

Verification and Validation: Certification statement issued by head of bureau. Independent review to validate material weakness has been corrected.

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Percent of new IT capital investments that are within costs, on schedule, and meeting performance targets

**Definition and Validation**

[MANAGEMENT CHALLENGE]

Definition: Under the Clinger-Cohen Act, new IT investments must be selected, controlled, and evaluated using appropriate investment criteria established by the Treasury Investment Review Board. Treasury's system for tracking these criteria is the Information Technology Investment Portfolio System.

Verification and Validation: Data will be maintained in the Information Technology Investment Portfolio System data is frequently updated and reviewed by Departmental management offices.

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Percent of bureaus with mechanisms to allow for early complaint resolution through Alternative Dispute Resolution

**Definition and Validation**

Definition: The Department measures the rate of resolution by comparing the number of employees who seek informal EEO counseling during a given period to the number of formal EEO complaints that are filed. To increase this rate, the Department uses "Alternative Dispute Resolution" programs in a small number of situations. Bureaus reported successes with early resolution programs. All bureaus are now being required to put in place formal mechanisms that allow for early resolution of complaints.

Verification and Validation: Data is reported by each individual bureau which must have its plan approved by Treasury's Office of Equal Opportunity Programs, prior to implementation. Bureaus are required to report on the successes of the plan, as well as its costs. Moreover, the Department can measure the success of the ADR programs by comparing the actual resolution rate in FY 2001, compared to the rates in FY 2000, FY 1999 and FY 1998, prior to implementation.

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Percent of EEO complaints resolved at the informal stage

**Definition and Validation**

Definition: This is a measure of the number of EEO complaints resolved before a formal complaint is filed. In accordance with Federal code, an aggrieved person, who feels they have been discriminated against must seek EEO counseling to informally resolve issues in dispute before filing a formal complaint. If the issues are not resolved to the aggrieved person's satisfaction, they may file a formal, written complaint of discrimination.

Verification and Validation: Data is reported to Treasury's Office of Equal Opportunity Programs by each individual bureau, as required by the Equal Employment Opportunity Commission. The bureaus report on the number of informal EEO counseling contacts as well as the number of formal complaints that are filed. This data is very reliable; however, there may be some inconsistency because bureaus may use different methods for collecting the data.

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Percent of EEO complaints resolved at the formal stage [BASELINE IN FY 2001]

**Definition and Validation**

Definition: This is a measure of the number of EEO complaints resolved at the formal stage of the complaints process.

Verification and Validation: Data will be developed through an analysis of Complaint Tracking System (CTS) Reports. The Treasury Complaint Centers and Treasury's Office of Equal Opportunity Programs report on the number of complaints

resolved at the formal stage of the complaint process. The data is recorded in the CTS. Performance measures will be developed from the FY 2001 baseline data.

Data Accuracy: Reasonable Accuracy

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### **Performance Measure**

Percent implementation of Human Resources Connect System modules

#### **Definition and Validation**

Definition: Treasury is facilitating the re-engineering of human resources (HR) systems through the development of a new HR system. This system is being developed modularly, resulting in incremental deployment of system functionality. These deployments are being made in a phased-in approach across Treasury. This measure reports the number of Treasury employees whose personnel services are being provided through the new Treasury HR Connect System at the current level of available functionality.

Verification and Validation: Data will be collected through observation (i.e., is the module under design/development/deployment). Cost/schedule/control reports are produced, along with master program plans for verification. Validation is performed through annual program assessments.

Data Accuracy: Reasonable accuracy

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### **Performance Measure**

Percent of major Treasury occupations for which workforce planning processes have been completed with workforce strategies developed as needed

#### **Definition and Validation**

Definition: This measures the percentage of Treasury bureaus' major occupations around which workforce planning (strategies to fill skills gaps) has been conducted.

Verification and Validation: Data will be collected by requesting that the bureaus identify their major occupations around which workforce planning will be conducted. Workforce planning will then be conducted in accordance with a plan that focuses on anticipated future skill gaps in the major occupations. The plan will include identifying the current skills required for each bureau's major occupations; projecting future skills needs; identifying any gaps between current and anticipated future skills needs; and recommending strategies to eliminate these gaps. Data will be verified by comparing projects with current data on an annual basis.

Data Accuracy: Reasonable accuracy

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### **Performance Measure**

Percent of universal access to Department-wide financial analysis and reporting system

#### **Definition and Validation**

Definition: The goal of this measure is Department-wide use of purchased financial reporting software package to produce reliable and timely financial statements which can undergo the scrutiny of an independent audit. Reliability is measured by the type of audit opinion received, with an unqualified audit opinion indicating that financial statements are accurate and reliable in all material aspects.

Verification and Validation: Bureau and reporting entities can enter and retrieve TIER data through the Treasury Intranet and retrieve CFO Vision reports through the Intranet.

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Percent of submission that are timely and contain quality data

**Definition and Validation**

Definition: This measures the percentage of bureaus and reporting entities whose data is input to Treasury's financial management system (TIER) within 3 days of the end of each month and meets all systems edits.

Verification and Validation: Bureau data submissions are received within 3 business days of the end of the prior month by July 3, 2002. Financial reports and statements are consistent with bureaus' reports generated from their respective core financial systems. Year-end consolidated financial statements are auditable by Treasury's auditors.

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Percent of bureaus in compliance with FFMIA financial systems requirements

**Definition and Validation**

[MANAGEMENT CHALLENGE]

Definition: The Federal Financial Management Improvement Act Of 1996 requires agencies to maintain financial management systems that comply substantially with Federal financial management systems requirements. This measures the percentage of Treasury bureaus that are in compliant with these requirements.

Verification and Validation: Treasury's management and/or auditors do not find any instances of bureaus being in substantial non-compliance with the Act's requirements.

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Percentage of bureaus in compliance with FFMIA accounting standards

**Definition and Validation**

[MANAGEMENT CHALLENGE]

Definition: The Federal Financial Management Improvement Act of 1996 requires agencies to maintain financial management systems that comply substantially with applicable Federal accounting standards. This measures the percentage of Treasury bureaus that are in compliant with these requirements.

Verification and Validation: Treasury's management and/or auditors do not find any instances of bureaus being in substantial non-compliance with the Act's requirements.

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Percent of bureaus in compliance with FFMIA Standard Ledger requirements

**Definition and Validation**

[MANAGEMENT CHALLENGE]

Definition: The Federal Financial Management Improvement Act of 1996 requires agencies to maintain financial management systems that comply substantially with U.S. Government Standard General Ledger at the transaction level. This measures the percentage of Treasury bureaus that are in compliant with these requirements.

Verification and Validation: Treasury's management and/or auditors do not find any instances of bureaus being in

substantial non-compliance with the Act's requirements.

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Percent of micro-purchase actions

**Definition and Validation**

Definition: This measures the use of the purchase card for procurement actions under the micro purchase threshold (under \$2,500), which reduces transactional administrative costs and processing time.

Verification and Validation: Treasury will divide the number of micro-purchase credit card transactions as reported by the purchase card company by the total number of purchase card and contractual transactions micro-purchases that are reported to Treasury by the purchase card company and by the bureaus.

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Percent of contract dollars over \$25,000 that are competed

**Definition and Validation**

Definition: This measures whether Treasury makes the maximum use of full and open competitive procedures in order to obtain the best value for the Government and to promote fairness in the marketplace in accordance with the Competition in Contracting Act of 1984.

Verification and Validation: The percentage of competition is determined by dividing the total dollars spent competitively by the total dollars spent competitively plus the total dollars spent non-competitively as defined by Block 29 A, C, or D on the SF 279. This information is reported to the Treasury Acquisition Data System (TADS) by the bureaus.

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Percent of contract actions over \$25,000 that are competed

**Definition and Validation**

Definition: This information measures whether Treasury makes the maximum use of full and open competitive procedures in order to obtain the best value for the Government and to promote fairness in the marketplace in accordance with the Competition in Contracting Act of 1984.

Verification and Validation: The percentage of competition is determined by dividing the total number of procurement actions awarded competitively by the total number of procurement actions awarded competitively plus the total number of actions awarded non-competitively as defined by Block A, C, or D on the SF 279. This information is reported to the Treasury Acquisition Data System by the bureaus.

Data Accuracy: Reasonable accuracy

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**Performance Measure**

Percent of contract dollars awarded to small business enterprises

**Definition and Validation**

Definition: This measures whether Treasury ensures that a fair proportion of its dollars for purchases, contracts and subcontracts for products and services are awarded to small businesses. The goals for the Small Business Programs are

established annually by the Small Business Administration after negotiations with Treasury.

**Verification and Validation:** The percentage of small business enterprise awards is determined by dividing the total appropriated dollars awarded to small business enterprises by the total appropriated dollars awarded to all businesses as reported by the bureaus to the Treasury Acquisition Data System.

Data Accuracy: Reasonable Accuracy

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### **Performance Measure**

Percent of all (IT) systems that are currently certified and accredited to operate

### **Definition and Validation**

[MANAGEMENT CHALLENGE]

**Definition:** Per OMB Circular A-130, Appendix III, all major application and general IT systems must be certified and accredited following appropriate Department/Agency IT Security guidelines. While OMB Circulars are not laws, OMB Circulars are considered mandatory guidelines for all U.S. government departments and agencies. These rules help ensure that the government is managed properly and in the best interests of the general public. To ensure that Treasury is following the rules outlined in OMB Circular A-130, in FY 2001, Treasury established a unique performance measure for Departmentwide use: the percentage of major application and general support information technology systems that are certified and accredited. Bureaus report the total number of major application and general support systems operating, and how many of these have been certified and accredited by a given date each year. The percentage is created by dividing the number of systems certified and accredited by the total number of systems in operation.

**Verification and Validation:** Data will be collected and maintained by Treasury's Office of Security. A survey will be done semiannually in FY 2001 and FY 2002, and annually thereafter by the Office of Security. The survey and resulting data will be reviewed by appropriately-cleared Departmental senior management. Data will be verified by Office of Security staff during annual compliance reviews. From these reviews, we are 100% confident that the number of certified and accredited systems is accurately reported by each bureau.

Data Accuracy: Reasonable accuracy

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**Explanation:FY2000**

**Performance Measure:**

Percentage of performance targets met by enforcement bureaus and major offices (USCS, ATF, USSS, FLETC, FinCEN, TFF)

ATF did not achieve its planned reduction in regulatory burden due to increased workload and unforeseen changes in laws and regulations.

Although substantial seizures were made, Customs did not achieve its planned target for seizures of drugs, due to sophisticated smuggling techniques and innovative changes in methods of concealment of drugs.

Customs did not achieve their target for number of seizures for outbound licensing violations, which can be attributed to limited resources and a continued decrease in the licensing requirements for the Department of Commerce.

The target for number of Tactical Cases completed within FinCEN was not met. As FinCEN continues to expand the number of Federal users with access to BSA information, FinCEN analysts will handle the more complex, high-profile cases to make the most effective use of our limited staff.

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**Explanation:FY2000**

**Performance Measure:**

Economic conditions in developing countries

Performance +5.8%

Return to Main Report

**Explanation:FY2000**

**Performance Measure:**

Economic conditions in transitional countries

This measures the overall percent change in Gross Domestic Product from the prior calendar year for all transitional countries. Performance +6.3%

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**Explanation:FY2000**

**Performance Measure:**

Economic conditions in foreign countries that are major U.S. trading partners - Canada

Performance 4.4%

Return to Main Report

**Explanation:FY2000**

**Performance Measure:**

Economic conditions in foreign countries that are major U.S. trading partners - European Union (Euro Area)

Performance 3.4%

Return to Main Report

**Explanation:FY2000**

**Performance Measure:**

Economic conditions in foreign countries that are major U.S. trading partners - United Kingdom

Performance 2.9%

Return to Main Report

**Explanation:FY2000**

**Performance Measure:**

Economic conditions in foreign countries that are major U.S. trading partners - Mexico

Performance 6.9%

Return to Main Report

**Explanation:FY2000**

**Performance Measure:**

Economic conditions in foreign countries that are major U.S. trading partners - Japan

Performance 1.5%

Return to Main Report

**Explanation:FY2000**

**Performance Measure:**

Economic conditions in foreign countries that are major U.S. trading partners - China

Performance 8.0%

Return to Main Report

**Explanation:FY2000**

**Performance Measure:**

Dollar value of U.S. exports of goods and services (\$ in billions)

Performance \$1,066 Billion

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Level of U.S. direct investment abroad (\$ in billions)

<b>Explanation:FY2000</b>	<b>Performance Measure:</b>	
Performance \$1,245 billion		
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<b>Explanation:FY2000</b>	<b>Performance Measure:</b>	Percentage of performance targets met by Domestic Finance bureaus (BPD, FMS, and CDFI)
***Explanation not provided.***		
Return to Main Report		
<b>Explanation:FY2000</b>	<b>Performance Measure:</b>	Number of open material weaknesses
***Explanation not provided.***		
Return to Main Report		
<b>Explanation:FY2000</b>	<b>Performance Measure:</b>	Percent of new IT capital investments that are within costs, on schedule, and meeting performance targets
Bureau IT Initiatives: Although all Treasury bureaus have entered FY02 IT budget initiative data into I-TIPS, most of the initiatives did not provide cost, schedule and performance data that would enable the Department to determine the percentage of initiatives that are within costs, on schedule and meeting performance targets. As a result, there are not sufficient data to determine this performance measure.		
CIRB IT initiatives: The Treasury CIRB is monitoring approved projects every six months; however, adequate cost, schedule and performance data have not been entered in I-TIPS in order to determine this performance measure. Using business cases and other data outside of I-TIPS, the CIRB has approved nine investments, three of which are IT. Of the nine approved, five are on cost, schedule and performance, one has been put on hold by the responsible bureau. Monitoring of the remaining three has not occurred since the approvals were only recently issued.		
Corrective Actions: CIRB -- having the CIRB re-enforce the need for initiatives to provide this information.		
Bureaus -- As necessary, the Department will provide additional high-level guidance and training for bureau representatives.		
Return to Main Report		
<b>Explanation:FY2000</b>	<b>Performance Measure:</b>	Percent of bureaus with mechanisms to allow for early complaint resolution through Alternative Dispute Resolution
OEOP had planned to put in place formal mechanisms for 10 bureaus. Plans were submitted by 13 bureaus; OEOP approved five and returned the remainder for changes needed to ensure compliance with 29 CFR 1614 regulations.		
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<b>Explanation:FY2001</b>	<b>Performance Measure:</b>	Percentage of performance targets met by enforcement bureaus and major offices (USCS, ATF, USSS, FLETC, FinCEN, TFF)
***Explanation not provided.***		
Return to Main Report		
<b>Explanation:FY2001</b>	<b>Performance Measure:</b>	Economic conditions in developing countries
Performance +4.0%		
Return to Main Report		
<b>Explanation:FY2001</b>	<b>Performance Measure:</b>	U.S. meets current financing commitments and pays all arrears to multilateral development banks (\$ in millions)
Re-estimation		
Return to Main Report		
<b>Explanation:FY2001</b>	<b>Performance Measure:</b>	Economic conditions in transitional countries
Performance +4.7%		
Return to Main Report		
<b>Explanation:FY2001</b>	<b>Performance Measure:</b>	Economic conditions in foreign countries that are major U.S. trading partners - Canada
Performance 1.4%		
Return to Main Report		
<b>Explanation:FY2001</b>	<b>Performance Measure:</b>	Economic conditions in foreign countries that are major U.S. trading partners - European Union (Euro Area)

Performance 1.6%

Return to Main Report

**Explanation:FY2001**

**Performance Measure:**

Economic conditions in foreign countries that are major U.S. trading partners - United Kingdom

Performance 2.3%

Return to Main Report

**Explanation:FY2001**

**Performance Measure:**

Economic conditions in foreign countries that are major U.S. trading partners - Mexico

Performance 0.6%

Return to Main Report

**Explanation:FY2001**

**Performance Measure:**

Economic conditions in foreign countries that are major U.S. trading partners - Japan

Performance -0.9%

Return to Main Report

**Explanation:FY2001**

**Performance Measure:**

Economic conditions in foreign countries that are major U.S. trading partners - China

Performance 7.3%

Return to Main Report

**Explanation:FY2001**

**Performance Measure:**

Dollar value of U.S. exports of goods and services (\$ in billions)

Performance \$1,025

Return to Main Report

**Explanation:FY2001**

**Performance Measure:**

Level of U.S. direct investment abroad (\$ in billions)

Data will not be available until July 2002

Return to Main Report

**Explanation:FY2001**

**Performance Measure:**

Percentage of performance targets met by Domestic Finance bureaus (BPD, FMS, and CDFI)

29 of the 33 performance measures with data available were met. Awaiting data for two measures from FMS which will not be available until 2002.

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**Explanation:FY2001**

**Performance Measure:**

Number of open material weaknesses

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**Explanation:FY2001**

**Performance Measure:**

Percent of new IT capital investments that are within costs, on schedule, and meeting performance targets

\*\*\*Explanation not provided.\*\*\*

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**Explanation:FY2001**

**Performance Measure:**

Percent of major Treasury occupations for which workforce planning processes have been completed with workforce strategies developed as needed

Action on this issue was greatly accelerated during FY 2001, with Treasury taking a proactive approach to addressing Departmental concern about potential skill gaps, since a large number of Treasury employees will become eligible for retirement within a few years. Working with each of the bureaus, Treasury developed a workforce planning strategy, workforce planning analyses, and analyses of restructuring plans of the bureaus.

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**Explanation:FY2001**

**Performance Measure:**

Percent of all (IT) systems that are currently certified and accredited to operate

Computer security has been seriously under-funded throughout Treasury for many years, and continues to be under-funded today.

Treasury is identifying internal resources which may be reallocated to support computer security programs. Current and

new partnering relationships will be fostered to support these programs.